



Using Administrative Data to Identify Individuals with Intellectual and Developmental Disabilities: An Exploration in Maine

Methodology Notes

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Introduction

The United States spends much more per person on the well-being of people with intellectual and developmental disabilities (IDD¹) compared to the general population, yet significant preventable health disparities persist.² To address these disparities, systems must be able to identify and track experiences and outcomes in this population. Unfortunately, significant limitations remain in the ability to identify people with IDD in both national surveys and administrative data. In response to these challenges, federal efforts to address disparities in the population with IDD have identified data linkages as a priority strategy to better understand prevalence, health care access, outcomes, and associated costs.³

Since 2011, Human Services Research Institute (HSRI) has undertaken several projects aimed at addressing gaps in national health surveillance for the IDD population, including efforts to operationalize definitions of intellectual and developmental disability for health monitoring. HSRI currently manages the I/DD Counts initiative, supported by the Administration for Community Living (ACL) and the Centers for Disease Control and Prevention National Center on Birth Defects and Developmental Disabilities (CDC/NCBDDD). HSRI has more than 25 years of experience collecting and reporting outcomes and quality measures for individuals with IDD receiving community-based supports and services through the National Core Indicators – Intellectual and Developmental Disabilities (NCI-IDD). For NCI-IDD, HSRI works directly with states and maintains a web-based data entry system, analyzes all data collected, and prepares topical data briefs and state-level data reports. Additionally, HSRI has collaborated with the State of Maine’s Maine Health Data Organization (MHDO) to build Maine’s health data warehouse, which includes an All-Payer Claims Database (APCD) and a Hospital Encounter Database. These administrative databases are important independently but can be linked with other data sources to enhance the ability to understand health system’s performance, monitor disease prevalence and prevention, and perform outcomes analyses to inform policy and practice.

To support addressed gaps in the health surveillance of the IDD population, HSRI conducted a feasibility study in spring 2023 using two Maine health care data systems maintained by MHDO: the APCD and the Hospital Encounter Database. Using MHDO's de-identified person-index to link these data systems, the study evaluated whether individuals with IDD could be reliably identified within these administrative datasets. This work aimed to enhance data-driven insights into the IDD population’s health services utilization and outcomes, providing a foundation for targeted improvements in health care access and quality. A person-level IDD status flag (see Appendix C) was created based on a literature review that explored approaches for identifying

¹ The acronym IDD is used when referring to people with intellectual and/or developmental disabilities. The acronym I/DD is used for the ACL-funded project I/DD Counts.

² Krahn, G. (2019). A call for better data on prevalence and health surveillance of people with intellectual and developmental disabilities. *Intellectual and Developmental Disabilities*, 57(5), 357–375.

³ Office of the Assistant Secretary for Planning and Evaluation. (2022). *Improving data infrastructure for patient-centered outcomes research for people with intellectual and developmental disabilities*. <https://aspe.hhs.gov/reports/improving-data-infrastructure-pcor-people-idd>

people with IDD, enhancing the person-index characteristics describing an individual. This IDD status flag enables:

- Demographic analysis of the IDD population in Maine
- Analyses of health service utilization and outcomes by IDD status
- Opportunities for data linkages with other administrative data sources, such as disease registries and vital statistics records

HSRI, based in Cambridge, Mass., is a 501(c)(3) nonprofit organization founded in 1976. For 50 years, HSRI has pursued its mission to improve systems that change lives by conducting robust, collaborative, inclusive, and participatory research that responds to population-level needs. HSRI has demonstrated expertise in advancing population health surveillance for individuals IDD and in managing and analyzing state-level administrative datasets.

The analysis, presented as a poster at the Academy Health Annual Research Meeting in June 2023, provides a framework for leveraging administrative data to identify and address disparities in the IDD population. The methodology used to create the IDD status flag is described below.

Data Sources

The two data sources used for this analysis are the MHDO APCD and the MHDO Hospital Encounter Database for the time period January 1, 2018 – December 31, 2022. MHDO's rule 90-590 Chapter 243, Uniform Reporting System for Health Care Claims Data Sets, governs the requirements and standards for data submission to the MHDO APCD, including which organizations must report and the content, format, method, and time frame for submissions. Similarly, MHDO's rule 90-590 Chapter 241, Uniform Reporting System for Hospital Inpatient Data Sets and Hospital Outpatient Data Sets, governs the requirements and standards for data submission to the MHDO Hospital Encounters database. Access to MHDO data was authorized per the terms and conditions of MHDO's rule 90-590 Chapter 120, Release of Data to the Public.

Over 50 commercial payors (including Medicare Advantage plans) and MaineCare (the State's Medicaid/CHIP program) submit their claims data (referred to as raw data) to MHDO. The data elements submitted to the MHDO APCD by payors aligns with the information populated in standardized claims forms (UB-04 and the CMS-1500) used by hospitals and other health care providers. The data elements submitted to the MHDO Hospital Encounter Database by hospitals are the same as those provided in the most current National Uniform Billing Data Element Specifications as developed by the National Uniform Billing Committee.

The MHDO APCD contains health care eligibility and medical, pharmacy, and dental claims records from commercial and public payors. The claims reported to MHDO include all MaineCare and Medicare (both Original Medicare and Medicare Advantage) members, approximately 84% of the fully insured individual and employer-sponsored plans, and approximately 26% of the self-funded employer-sponsored plans (referred to as Commercial). A portion of the self-funded employer-sponsored plans are Employee Retirement Income Security Act of 1974 (ERISA) plans, and due to a United States Supreme Court ruling (*Gobeille v. Liberty Mutual Insurance Company*) in March 2016, they are exempt from submitting data to

state APCDs. However, some of the largest ERISA self-funded plans submit data to MHDO on a voluntary basis. Health plans with less than \$2 million in annual premiums are exempt from submitting data to MHDO. MHDO's claims data does not include data for the uninsured.

The MHDO Hospital Encounter Database contains records from all insured and uninsured individuals who received inpatient or outpatient services from hospitals and hospital-owned specialty groups or primary care practices (100% of encounters in those settings). The data captured by this database is not dependent on individual-level insurance coverage; if an individual received a service through one of the hospital systems, that encounter information is captured in this database. In any given year, the database includes almost 60% of Maine's population.

Administrative claims data, such as the claims from the MHDO APCD, are records generated when health care providers submit claims for reimbursement to insurers, including commercial and public payors. Claims data typically includes the following components:

- Patient demographics: Age, gender, location, and insurance coverage
- Provider information: Provider (billing, rendering, attending, operating, prescribing) National Provide Identifier (NPI), classification and specialization, and location
- Service information: Diagnoses, procedures, and prescriptions as captured using a combination of International Classification of Disease (ICD) codes, Current Procedural Terminology (CPT) codes, and/or Healthcare Common Procedure Coding System (HCPCS) codes
- Utilization information: Service dates and visit types, such as inpatient admissions, outpatient visits, and emergency department visits
- Financial information: The amount billed and the amount allowed for a service or procedure, and the portion of the allowed amount that is the patient responsibility versus the payor responsibility
- Enrollment information: Insurance eligibility and coverage history

Similarly, administrative hospital encounter data, such as the data within the MHDO Hospital Encounter Database, are medical records collected by hospitals for internal use, state reporting, and research. These datasets contain many of the same data elements as administrative claims data (other than financial information) but are limited to care among hospital-affiliated providers, capturing inpatient stays, emergency department visits, outpatient hospital services, and physician practices. Unlike APCD data, hospital encounter data contains data from both insured and uninsured patients.

While claims and hospital encounter data can provide insights into health care utilization, neither type includes information about direct health outcomes. They can indicate how often a service is used or how many times a prescription is filled, but they lack clinical measures such as diagnostic test results or blood pressure measurements. However, proxy measures can sometimes be used to infer health outcomes.

To gain a more comprehensive view, claims and hospital encounter data can be linked using MHDO's de-identified person-index key (or Person ID) —provided that accurate patient identifiers are available. This key assigns a single set of demographic characteristics to a person

using custom logic to ensure the most accurate submissions are used. Additionally, linking these datasets with other data sources—such as electronic health records, vital statistics records, disease registries including cancer registries, and Census data—can enhance analyses of health care access, quality, and outcomes.

Time Period

The feasibility analysis used MHDO’s APCD medical claims and Hospital Encounters records for January 1, 2018 through December 31, 2022 (the most recent data available in spring 2023), using the following criteria:

- **Medical claims** for 2018 through 2022 were selected based on the *service start date* on the claim line for hospital outpatient encounters and related professional claims and based on the *admission date* for hospital inpatient claims.
- **Hospital encounter records** for 2018 through 2022 were selected based on the *statement covers period through date*.

Data Preparation

Claims data and hospital encounters data submitted to MHDO undergo data processing, including to identify and remove fully reversed claims and incomplete, incorrect, or duplicate data. This process is designed to improve the accuracy and reliability of the data. The processing steps undertaken on the submitted data is summarized in [Appendix A](#).

[Appendix B](#) contains the list of medical claims, hospital encounters, and person-index elements used to develop the analytic dataset.

Methodology

There are a variety of methods available to identify populations for health surveillance research. A list of International Classification of Diseases-Tenth Revision (ICD-10-CM) diagnosis codes related to IDD diagnoses were derived from the Clinical Classifications Software Refined (CCSR) tool for ICD-10-CM diagnoses, developed by the Health Care Utilization Project (HCUP) under the Agency for Healthcare Research and Quality (AHRQ)⁴, and the Centers for Medicare & Medicaid Services’ Chronic Conditions Data Warehouse (CCW)⁵. Table C.1 in [Appendix C](#) contains the list of IDD diagnosis inclusion codes.

⁴ https://hcup-us.ahrq.gov/toolssoftware/ccsr/ccs_refined.jsp

⁵ <https://www2.ccwdata.org/web/guest/condition-categories>

Procedure and Diagnosis History

To obtain the procedure and diagnosis history for all individuals (de-identified) in the MHDO APCD and MHDO Hospital Encounter Database, we created separate datasets with the following elements from each source:

- **Medical claims** – Claim ID, Person ID, billing provider NPI, servicing provider NPI, payor, service dates, CPT/HCPCS codes, ICD-10-CM diagnosis codes, and ICD-10-PCS procedure codes
- **Hospital inpatient records** – Encounter ID, Person ID, hospital submitter, payor, service dates, ICD-10-PCS procedure codes, and ICD-10-CM diagnosis codes
- **Hospital outpatient records** – Encounter ID, Person ID, hospital submitter, payor, service dates, CPT/HCPCS codes, and ICD-10-CM diagnosis codes

These datasets were then combined into a deduplicated dataset containing all services, procedures, and associated diagnoses for individuals with an available Person ID. All individuals with available health care records in the 2018-2022 MHDO APCD and MHDO Hospital Encounter Database were included in the analytic dataset.

IDD Status

Diagnosis codes were used to identify people with IDD; if an IDD diagnosis was not coded on the claim and a person had IDD, they would not be captured in this analysis, resulting in an undercount of the population. In future analyses, it may be beneficial to broaden the approach to identifying people with IDD by reviewing IDD service codes in addition to reviewing diagnosis codes, or by obtaining a list of Home and Community Based Services (HCBS) recipients from the state.

If a person had at least one medical claim or hospital inpatient or hospital outpatient encounter record with an IDD principal or secondary diagnosis, their person-level IDD status flag was set to 1. This method of identification assumes that during the five-year period of interest, people with IDD would have accessed at least one health care service, and that an IDD diagnosis would be present as a primary or secondary diagnosis on the health care encounter. Subcategory IDD status flags were also created to distinguish people with diagnoses related to intellectual disabilities, autism, pervasive developmental disorder, or learning disabilities. Subcategories were not mutually exclusive; if a person had an intellectual disability and an autism diagnosis, they were included in both subcategories. Table C.2 in [Appendix C](#) contains the list of IDD subcategories and their corresponding inclusion codes.

Payors

Because individuals may change insurance coverage over time, we created a person-level flag to identify all payors that covered each person at any point during the five-year period. The payors categories were:

- Commercial only
- Medicaid only
- Medicare Fee-for-Service (FFS) only

- Medicare Advantage only
- Other payor only (TRICARE/USVA, Charity/Uncompensated Care, Self-Pay, Workers Compensation, Other, Unknown/Undetermined)
- Multiple payors (Commercial, Medicaid, Medicare FFS, Medicare Advantage, or Other)

Demographic Characteristics

Using data from MHDO's de-identified person-index, individuals' age, gender, ethnicity, and race were determined. Only Maine residents, as determined by home zip code, were reported in results.

Future Directions

This resource is intended to serve as a technical guide for others seeking to explore the use of APCDs and other health care datasets with primary and secondary diagnosis codes to study prevalence and health care use among people with IDD. Different data sources often use different methods to determine whether individuals have IDD, resulting in varying estimates of prevalence and inconsistent findings on health inequities. To determine accurate estimates, there is a need for greater transparency in the methods used to define IDD. This report provides a framework for promoting transparency and facilitating comparisons between data sources using different definitions of IDD.

This work is closely connected to the goals of the ACL-funded **I/DD Counts** project. The I/DD Counts project has developed a roadmap for better IDD data, which outlines the fundamental importance of strengthening administrative data by developing and sharing standard definitions of IDD in available data. This standardization is key to harmonizing and subsequently linking data from different sources to more comprehensively assess health inequities for people with IDD.

Appendices

Appendix A: MHDO Data Intake and Processing

The MHDO APCD and Hospital Encounters data are submitted to MHDO per the requirements in 90-590 Chapter 243, Uniform Reporting System for Health Care Claims Data Sets and Chapter 241, Uniform Reporting System for Hospital Inpatient Data Sets and Hospital Outpatient Data Sets. The claims data and hospital encounters data submitted to MHDO undergoes data scrubbing, which is the process of fixing errors in a database by identifying and removing incomplete, incorrect, or duplicate data. It also involves standardizing formats and updating outdated information. This process is designed to improve the accuracy and reliability of the data.

After passing data intake validations, the data are ingested into the MHDO Data Warehouse, where they are processed, enhanced with value-add fields, and then subjected to an additional round of internal quality checks. The table below outlines these steps.

TABLE A.1. MHDO APCD AND HOSPITAL ENCOUNTER DATA PROCESSING STEPS IN THE DATA WAREHOUSE

| Step | Task | Description |
|------|--|---|
| 1 | Receive Raw Data Files | Raw data are received from the source, data are loaded into the MHDO Data Warehouse. |
| 2 | Enhance Data | Process the data files by running queries and batch jobs to load the data into the appropriate file formats and bring the files into output tables. Specifications for enhancements are documented in the Business Rules. |
| 3 | Conduct Internal Quality Control (QC) | Execute QC based on dataset. This may include: Running variable checks to ensure key variables are used in analysis; checking output tables to ensure the correct relationships are established and information is appearing correctly; comparing current estimates to previous estimates; performing outlier analysis; reviewing data for new procedures or methodological changes; reviewing any open issues identified in past processing iterations. Document progress and results as needed. |
| 4 | Investigate and Resolve Issues | Investigate and resolve critical issues identified during the internal QC process. |
| 5 | Rerun Data (if necessary) | If data issues are identified, rerun the data and conduct internal QC. |
| 7 | Investigate and Resolve Issues | Investigate and resolve critical issues identified during the external QC process, as discussed with the MHDO Compliance Officer and Executive Director. |
| 8 | Accept or Reject Data | MHDO accepts or rejects the data deliverable based on the testing results. When accepted, the data are released. |
| 9 | Metadata and Release Documentation | Metadata and associated release documentation is updated with changes or data quality concerns and released with data. |

Appendix B: MHDO Data Elements Used in the Analysis

This appendix includes four lists of MHDO data elements used for this analysis, one for the MHDO APCD (Table B.1), one for MHDO Hospital Inpatient Encounters (Table B.2), one for MHDO Hospital Outpatient Encounters (Table B.3), and one for the MHDO Person- Index (Table B.4).

TABLE B.1. MHDO APCD MEDICAL CLAIMS

| Data Element | Data Element Name | Transformation Type |
|-------------------|--------------------------|---------------------|
| MC002_PAYER | MHDO Payer ID | As Submitted |
| MC016_PATZIP | Member ZIP Code | As Submitted |
| MC018_ADMDAT | Admission Date | As Submitted |
| MC055_CPT | Procedure Code | As Submitted |
| MC056_MOD1 | Procedure Modifier 1 | As Submitted |
| MC057_MOD2 | Procedure Modifier 2 | As Submitted |
| MC059_FDATE | Date of Service From | As Submitted |
| MC060_LDATE | Date of Service Through | As Submitted |
| MC069_DISDAT | Discharge Date | As Submitted |
| MC200_PRINDGNS | Principal Diagnosis | As Submitted |
| MC254_OTHDX1 | Other Diagnosis-1 | As Submitted |
| MC256_OTHDX2 | Other Diagnosis-2 | As Submitted |
| MC258_OTHDX3 | Other Diagnosis-3 | As Submitted |
| MC260_OTHDX4 | Other Diagnosis-4 | As Submitted |
| MC262_OTHDX5 | Other Diagnosis-5 | As Submitted |
| MC264_OTHDX6 | Other Diagnosis-6 | As Submitted |
| MC266_OTHDX7 | Other Diagnosis-7 | As Submitted |
| MC268_OTHDX8 | Other Diagnosis-8 | As Submitted |
| MC270_OTHDX9 | Other Diagnosis-9 | As Submitted |
| MC272_OTHDX10 | Other Diagnosis-10 | As Submitted |
| MC274_OTHDX11 | Other Diagnosis-11 | As Submitted |
| MC276_OTHDX12 | Other Diagnosis-12 | As Submitted |
| MC278_OTHDX13 | Other Diagnosis-13 | As Submitted |
| MC280_OTHDX14 | Other Diagnosis-14 | As Submitted |
| MC282_OTHDX15 | Other Diagnosis-15 | As Submitted |
| MC284_OTHDX16 | Other Diagnosis-16 | As Submitted |
| MC286_OTHDX17 | Other Diagnosis-17 | As Submitted |
| MC288_OTHDX18 | Other Diagnosis-18 | As Submitted |
| MC290_OTHDX19 | Other Diagnosis-19 | As Submitted |
| MC292_OTHDX20 | Other Diagnosis-20 | As Submitted |
| MC294_OTHDX21 | Other Diagnosis-21 | As Submitted |
| MC296_OTHDX22 | Other Diagnosis-22 | As Submitted |
| MC298_OTHDX23 | Other Diagnosis-23 | As Submitted |
| MC300_OTHDX24 | Other Diagnosis-24 | As Submitted |
| MC302_PRNPRCDRCD | Principal Procedure Code | As Submitted |
| MC303_OTHPRCDRCD1 | Other Procedure Code-1 | As Submitted |
| MC304_OTHPRCDRCD2 | Other Procedure Code-2 | As Submitted |
| MC305_OTHPRCDRCD3 | Other Procedure Code-3 | As Submitted |
| MC306_OTHPRCDRCD4 | Other Procedure Code-4 | As Submitted |
| MC307_OTHPRCDRCD5 | Other Procedure Code-5 | As Submitted |
| MC308_OTHPRCDRCD6 | Other Procedure Code-6 | As Submitted |

| Data Element | Data Element Name | Transformation Type |
|----------------------------|--|---------------------|
| MC309_OTHPRCDRCD7 | Other Procedure Code-7 | As Submitted |
| MC310_OTHPRCDRCD8 | Other Procedure Code-8 | As Submitted |
| MC311_OTHPRCDRCD9 | Other Procedure Code-9 | As Submitted |
| MC312_OTHPRCDRCD10 | Other Procedure Code-10 | As Submitted |
| MC313_OTHPRCDRCD11 | Other Procedure Code-11 | As Submitted |
| MC314_OTHPRCDRCD12 | Other Procedure Code-12 | As Submitted |
| MC315_OTHPRCDRCD13 | Other Procedure Code-13 | As Submitted |
| MC316_OTHPRCDRCD14 | Other Procedure Code-14 | As Submitted |
| MC317_OTHPRCDRCD15 | Other Procedure Code-15 | As Submitted |
| MC318_OTHPRCDRCD16 | Other Procedure Code-16 | As Submitted |
| MC319_OTHPRCDRCD17 | Other Procedure Code-17 | As Submitted |
| MC320_OTHPRCDRCD18 | Other Procedure Code-18 | As Submitted |
| MC321_OTHPRCDRCD19 | Other Procedure Code-19 | As Submitted |
| MC322_OTHPRCDRCD20 | Other Procedure Code-20 | As Submitted |
| MC323_OTHPRCDRCD21 | Other Procedure Code-21 | As Submitted |
| MC324_OTHPRCDRCD22 | Other Procedure Code-22 | As Submitted |
| MC325_OTHPRCDRCD23 | Other Procedure Code-23 | As Submitted |
| MC326_OTHPRCDRCD24 | Other Procedure Code-24 | As Submitted |
| MC902_IDN | Record ID# | Derived |
| MC907_MHDO_CLAIM | MHDO assigned replacement for payer's claim ID | Derived |
| MC913_MHDO_PRODUCT | Standardized Insurance Type/Product Code | Derived |
| MC950_SERVICING_NPI | National Provider ID - Servicing Provider | Derived |
| MC967_Billing_Provider_NPI | National Provider ID - Billing Provider | Derived |
| MC976_Person_ID | Deidentified MHDO-assigned replacement Person ID | Derived |

TABLE B.2. MHDO HOSPITAL INPATIENT ENCOUNTERS

| Data Element | Data Element Name | Transformation Type |
|--------------------------------------|---------------------------------|---------------------|
| IPMB01_IDN | Unique Record Identifier | Derived |
| IP0102_SubmitterEIN | MHDO-Assigned Hospital ID | As Submitted |
| IP2011_AdmissionStartCareDate | Admission/start of care date | As Submitted |
| IP2013_StatementCoversPeriod Through | Statement covers period through | As Submitted |
| IP7104_PrincipalDiagnosis | Principal Diagnosis | As Submitted |
| IP7110_PrincipalProcedureCode | Principal Procedure code | As Submitted |
| IP7111_PrincipalProcedureDate | Principal Procedure date | As Submitted |
| IP720PD02_MasterIDN | Unique Record Identifier | Derived |
| IP7202_SequenceNumber | Sequence number | As Submitted |
| IP7402_SequenceNumber | Sequence number | As Submitted |
| IP7204_OtherProcedureCode1 | Other Procedure code 1 | As Submitted |
| IP7205_OtherProcedureDate1 | Other Procedure date 1 | As Submitted |
| IP7206_OtherProcedureCode2 | Other Procedure code 2 | As Submitted |
| IP7207_OtherProcedureDate2 | Other Procedure date 2 | As Submitted |
| IP7208_OtherProcedureCode3 | Other Procedure code 3 | As Submitted |
| IP7209_OtherProcedureDate3 | Other Procedure date 3 | As Submitted |
| IP7210_OtherProcedureCode4 | Other Procedure code 4 | As Submitted |
| IP7211_OtherProcedureDate4 | Other Procedure date 4 | As Submitted |
| IP7212_OtherProcedureCode5 | Other Procedure code 5 | As Submitted |
| IP7213_OtherProcedureDate5 | Other Procedure date 5 | As Submitted |
| IP7214_OtherProcedureCode6 | Other Procedure code 6 | As Submitted |
| IP7215_OtherProcedureDate6 | Other Procedure date 6 | As Submitted |
| IP7216_OtherProcedureCode7 | Other Procedure code 7 | As Submitted |

| Data Element | Data Element Name | Transformation Type |
|-----------------------------|---|---------------------|
| IP7217_OtherProcedureDate7 | Other Procedure date 7 | As Submitted |
| IP7218_OtherProcedureCode8 | Other Procedure code 8 | As Submitted |
| IP7219_OtherProcedureDate8 | Other Procedure date 8 | As Submitted |
| IP7404_OtherDiagnosisCode1 | Other Diagnosis code 1 | As Submitted |
| IP7406_OtherDiagnosisCode2 | Other Diagnosis code 2 | As Submitted |
| IP7408_OtherDiagnosisCode3 | Other Diagnosis code 3 | As Submitted |
| IP7410_OtherDiagnosisCode4 | Other Diagnosis code 4 | As Submitted |
| IP7412_OtherDiagnosisCode5 | Other Diagnosis code 5 | As Submitted |
| IP7414_OtherDiagnosisCode6 | Other Diagnosis code 6 | As Submitted |
| IP7416_OtherDiagnosisCode7 | Other Diagnosis code 7 | As Submitted |
| IP7418_OtherDiagnosisCode8 | Other Diagnosis code 8 | As Submitted |
| IP7420_OtherDiagnosisCode9 | Other Diagnosis code 9 | As Submitted |
| IP7422_OtherDiagnosisCode10 | Other Diagnosis code 10 | As Submitted |
| IP7424_OtherDiagnosisCode11 | Other Diagnosis code 11 | As Submitted |
| IP7426_OtherDiagnosisCode12 | Other Diagnosis code 12 | As Submitted |
| IPML20_ZIP_5 | Patient ZIP code | As Submitted |
| IPMPY20_PAY1 | MHDO-assigned payer classification code 1 | As Submitted |
| IPMB10_Person_ID | MHDO De-Identified Replacement Person ID | Derived |

TABLE B.3. MHDO HOSPITAL OUTPATIENT ENCOUNTERS

| Data Element | Data Element Name | Transformation Type |
|--------------------------------------|---|---------------------|
| OPMB01_IDN | Unique Record Identifier | Derived |
| OP0102_SubmitterEIN | MHDO-Assigned Hospital ID | As Submitted |
| OP2011_AdmissionStartCareDate | Admission/start of care date | As Submitted |
| OP2013_StatementCoversPeriod Through | Statement covers period through | As Submitted |
| OP6105_HCPCSCode | HCPCS Procedure code | As Submitted |
| OP6106_HCPCSCode_Mod1 | Modifier 1 (HCPCS & CPT-4) 1 | As Submitted |
| OP6107_HCPCSCode_Mod2 | Modifier 2 (HCPCS & CPT-4) 1 | As Submitted |
| OP7104_PrincipalDiagnosis | Principal Diagnosis | As Submitted |
| OP7202_SequenceNumber | Sequence number | As Submitted |
| OP7402_SequenceNumber | Sequence number | As Submitted |
| OP7404_OtherDiagnosisCode1 | Other Diagnosis code 1 | As Submitted |
| OP7406_OtherDiagnosisCode2 | Other Diagnosis code 2 | As Submitted |
| OP7408_OtherDiagnosisCode3 | Other Diagnosis code 3 | As Submitted |
| OP7410_OtherDiagnosisCode4 | Other Diagnosis code 4 | As Submitted |
| OP7412_OtherDiagnosisCode5 | Other Diagnosis code 5 | As Submitted |
| OP7414_OtherDiagnosisCode6 | Other Diagnosis code 6 | As Submitted |
| OP7416_OtherDiagnosisCode7 | Other Diagnosis code 7 | As Submitted |
| OP7418_OtherDiagnosisCode8 | Other Diagnosis code 8 | As Submitted |
| OP7420_OtherDiagnosisCode9 | Other Diagnosis code 9 | As Submitted |
| OP7422_OtherDiagnosisCode10 | Other Diagnosis code 10 | As Submitted |
| OP7424_OtherDiagnosisCode11 | Other Diagnosis code 11 | As Submitted |
| OP7426_OtherDiagnosisCode12 | Other Diagnosis code 12 | As Submitted |
| OPML20_ZIP_5 | Patient ZIP code | As Submitted |
| OPMPY20_PAY1 | MHDO-assigned payer classification code 1 | As Submitted |
| OPMB10_Person_ID | MHDO Deidentified Replacement Person ID | Derived |

TABLE B.4. MHDO PERSON-INDEX

| Data Element | Data Element Name | Transformation Type |
|---------------------|--|----------------------------|
| DOB | Date of birth | Derived |
| First_Sex | Most common submitted sex across Data Warehouse (DW) data sources in the earliest available 6-month period | Derived |
| AI_AN | American Indian/Alaskan Native race, DW derived composite assignment | Derived |
| Asian | Asian race, DW derived composite assignment | Derived |
| Black | Black or African American race, DW derived composite assignment | Derived |
| NH_PI | Native Hawaiian/Pacific Islander race, DW derived composite assignment | Derived |
| White | White or Caucasian race, DW derived composite assignment | Derived |
| Race_Other | Other Race, DW derived composite assignment | Derived |
| Race_Unknown | Race is not otherwise known | Derived |
| Hispanic | Hispanic ethnicity, DW derived composite assignment | Derived |
| Non_Hispanic | Non-Hispanic ethnicity, DW derived composite assignment | Derived |

Appendix C: ICD-10-CM Codes for IDD Diagnosis Identification

Table C.1 lists the ICD-10-CM codes used to identify a person with an IDD diagnosis in the MHDO APCD or Hospital Encounter Database. Table C.2 is a crosswalk of the ICD-10-CM codes and how they were categorized into specific IDD subgroups for reporting.

TABLE C.1. IDD DIAGNOSIS CODES

| ICD-10-CM Code | ICD-10-CM Code Description |
|----------------|--|
| E71520 | Childhood cerebral X-linked adrenoleukodystrophy |
| E7523 | Krabbe disease |
| E7525 | Metachromatic leukodystrophy |
| E7871 | Barth syndrome |
| E7872 | Smith-Lemli-Opitz syndrome |
| E791 | Lesch-Nyhan syndrome |
| F70 | Mild intellectual disabilities |
| F71 | Moderate intellectual disabilities |
| F72 | Severe intellectual disabilities |
| F73 | Profound intellectual disabilities |
| F78 | Other intellectual disabilities |
| F78A1 | SYNGAP1-related intellectual disability |
| F78A9 | Other genetic related intellectual disability |
| F79 | Unspecified intellectual disabilities |
| F800 | Phonological disorder |
| F801 | Expressive language disorder |
| F802 | Mixed receptive-expressive language disorder |
| F8082 | Social pragmatic communication disorder |
| F8089 | Other developmental disorders of speech and language |
| F82 | Specific developmental disorder of motor function |
| F840 | Autistic disorder |
| F842 | Rett's syndrome |
| F843 | Other childhood disintegrative disorder |
| F845 | Asperger's syndrome |
| F848 | Other pervasive developmental disorders |
| F849 | Pervasive developmental disorder, unspecified |
| F88 | Other disorders of psychological development |
| F89 | Unspecified disorder of psychological development |
| G3181 | Alpers disease |
| G800 | Spastic quadriplegic cerebral palsy |
| G801 | Spastic diplegic cerebral palsy |
| G802 | Spastic hemiplegic cerebral palsy |
| G803 | Athetoid cerebral palsy |
| G804 | Ataxic cerebral palsy |
| G808 | Other cerebral palsy |
| G809 | Cerebral palsy, unspecified |
| G901 | Familial dysautonomia [Riley-Day] |
| H9325 | Central auditory processing disorder |

| ICD-10-CM Code | ICD-10-CM Code Description |
|----------------|--|
| P043 | Newborn affected by maternal use of alcohol |
| Q000 | Anencephaly |
| Q001 | Craniorachischisis |
| Q002 | Iniencephaly |
| Q010 | Frontal encephalocele |
| Q011 | Nasofrontal encephalocele |
| Q012 | Occipital encephalocele |
| Q018 | Encephalocele of other sites |
| Q019 | Encephalocele, unspecified |
| Q02 | Microcephaly |
| Q030 | Malformations of aqueduct of Sylvius |
| Q031 | Atresia of foramina of Magendie and Luschka |
| Q038 | Other congenital hydrocephalus |
| Q039 | Congenital hydrocephalus, unspecified |
| Q040 | Congenital malformations of corpus callosum |
| Q041 | Arhinencephaly |
| Q042 | Holoprosencephaly |
| Q043 | Other reduction deformities of brain |
| Q044 | Septo-optic dysplasia of brain |
| Q045 | Megalencephaly |
| Q046 | Congenital cerebral cysts |
| Q048 | Other specified congenital malformations of brain |
| Q049 | Congenital malformation of brain, unspecified |
| Q050 | Cervical spina bifida with hydrocephalus |
| Q051 | Thoracic spina bifida with hydrocephalus |
| Q052 | Lumbar spina bifida with hydrocephalus |
| Q053 | Sacral spina bifida with hydrocephalus |
| Q054 | Unspecified spina bifida with hydrocephalus |
| Q055 | Cervical spina bifida without hydrocephalus |
| Q056 | Thoracic spina bifida without hydrocephalus |
| Q057 | Lumbar spina bifida without hydrocephalus |
| Q058 | Sacral spina bifida without hydrocephalus |
| Q059 | Spina bifida, unspecified |
| Q060 | Amyelia |
| Q061 | Hypoplasia and dysplasia of spinal cord |
| Q062 | Diastatomyelia |
| Q063 | Other congenital cauda equina malformations |
| Q064 | Hydromyelia |
| Q068 | Other specified congenital malformations of spinal cord |
| Q069 | Congenital malformation of spinal cord, unspecified |
| Q0700 | Arnold-Chiari syndrome without spina bifida or hydrocephalus |
| Q0701 | Arnold-Chiari syndrome with spina bifida |
| Q0702 | Arnold-Chiari syndrome with hydrocephalus |
| Q0703 | Arnold-Chiari syndrome with spina bifida and hydrocephalus |
| Q078 | Other specified congenital malformations of nervous system |
| Q079 | Congenital malformation of nervous system, unspecified |
| Q851 | Tuberous sclerosis |
| Q860 | Fetal alcohol syndrome (dysmorphic) |
| Q861 | Fetal hydantoin syndrome |

| ICD-10-CM Code | ICD-10-CM Code Description |
|----------------|---|
| Q871 | Congenital malformation syndromes predominantly associated with short stature |
| Q8711 | Prader-Willi syndrome |
| Q8719 | Other congenital malformation syndromes predominantly associated with short stature |
| Q872 | Congenital malformation syndromes predominantly involving limbs |
| Q873 | Congenital malformation syndromes involving early overgrowth |
| Q875 | Other congenital malformation syndromes with other skeletal changes |
| Q8781 | Alport syndrome |
| Q8789 | Other specified congenital malformation syndromes, not elsewhere classified |
| Q897 | Multiple congenital malformations, not elsewhere classified |
| Q898 | Other specified congenital malformations |
| Q900 | Trisomy 21, nonmosaicism (meiotic nondisjunction) |
| Q901 | Trisomy 21, mosaicism (mitotic nondisjunction) |
| Q902 | Trisomy 21, translocation |
| Q909 | Down syndrome, unspecified |
| Q910 | Trisomy 18, nonmosaicism (meiotic nondisjunction) |
| Q911 | Trisomy 18, mosaicism (mitotic nondisjunction) |
| Q912 | Trisomy 18, translocation |
| Q913 | Trisomy 18, unspecified |
| Q914 | Trisomy 13, nonmosaicism (meiotic nondisjunction) |
| Q915 | Trisomy 13, mosaicism (mitotic nondisjunction) |
| Q916 | Trisomy 13, translocation |
| Q917 | Trisomy 13, unspecified |
| Q920 | Whole chromosome trisomy, nonmosaicism (meiotic nondisjunction) |
| Q921 | Whole chromosome trisomy, mosaicism (mitotic nondisjunction) |
| Q922 | Partial trisomy |
| Q925 | Duplications with other complex rearrangements |
| Q9261 | Marker chromosomes in normal individual |
| Q9262 | Marker chromosomes in abnormal individual |
| Q927 | Triploidy and polyploidy |
| Q928 | Other specified trisomies and partial trisomies of autosomes |
| Q929 | Trisomy and partial trisomy of autosomes, unspecified |
| Q930 | Whole chromosome monosomy, nonmosaicism (meiotic nondisjunction) |
| Q931 | Whole chromosome monosomy, mosaicism (mitotic nondisjunction) |
| Q932 | Chromosome replaced with ring, dicentric or isochromosome |
| Q933 | Deletion of short arm of chromosome 4 |
| Q934 | Deletion of short arm of chromosome 5 |
| Q935 | Other deletions of part of a chromosome |
| Q9351 | Angelman syndrome |
| Q9359 | Other deletions of part of a chromosome |
| Q937 | Deletions with other complex rearrangements |
| Q9381 | Velo-cardio-facial syndrome |
| Q9382 | Williams syndrome |
| Q9388 | Other microdeletions |
| Q9389 | Other deletions from the autosomes |
| Q939 | Deletion from autosomes, unspecified |
| Q952 | Balanced autosomal rearrangement in abnormal individual |
| Q953 | Balanced sex/autosomal rearrangement in abnormal individual |
| Q971 | Female with more than three X chromosomes |
| Q992 | Fragile X chromosome |

| ICD-10-CM Code | ICD-10-CM Code Description |
|----------------|--|
| Q998 | Other specified chromosome abnormalities |

TABLE C.2. IDD DIAGNOSIS CODES BY SUBCATEGORY

| ICD-10-CM Code | ICD-10-CM Code Description | Subcategory |
|----------------|--|--|
| F840 | Autistic disorder | Autism, Pervasive Developmental Disorder, Aspergers syndrome |
| F842 | Rett's syndrome | Autism, Pervasive Developmental Disorder, Aspergers syndrome |
| F843 | Other childhood disintegrative disorder | Autism, Pervasive Developmental Disorder, Aspergers syndrome |
| F845 | Asperger's syndrome | Autism, Pervasive Developmental Disorder, Aspergers syndrome |
| F848 | Other pervasive developmental disorders | Autism, Pervasive Developmental Disorder, Aspergers syndrome |
| F849 | Pervasive developmental disorder, unspecified | Autism, Pervasive Developmental Disorder, Aspergers syndrome |
| F88 | Other disorders of psychological development | Autism, Pervasive Developmental Disorder, Aspergers syndrome |
| F89 | Unspecified disorder of psychological development | Autism, Pervasive Developmental Disorder, Aspergers syndrome |
| E7871 | Barth syndrome | Intellectual Disabilities |
| E7872 | Smith-Lemli-Opitz syndrome | Intellectual Disabilities |
| E791 | Lesch-Nyhan syndrome | Intellectual Disabilities |
| F70 | Mild intellectual disabilities | Intellectual Disabilities |
| F71 | Moderate intellectual disabilities | Intellectual Disabilities |
| F72 | Severe intellectual disabilities | Intellectual Disabilities |
| F73 | Profound intellectual disabilities | Intellectual Disabilities |
| F78 | Other intellectual disabilities | Intellectual Disabilities |
| F78A1 | SYNGAP1-related intellectual disability | Intellectual Disabilities |
| F78A9 | Other genetic related intellectual disability | Intellectual Disabilities |
| F79 | Unspecified intellectual disabilities | Intellectual Disabilities |
| F800 | Phonological disorder | Learning Disability |
| F801 | Expressive language disorder | Learning Disability |
| F802 | Mixed receptive-expressive language disorder | Learning Disability |
| F8082 | Social pragmatic communication disorder | Learning Disability |
| F8089 | Other developmental disorders of speech and language | Learning Disability |
| F82 | Specific developmental disorder of motor function | Learning Disability |
| H9325 | Central auditory processing disorder | Learning Disability |